

THREE YEARS MWEITI WORK PLAN 2015 TO 2017

KEY

Completed

Ongoing

Pending

Strategic Goal: National sustainable development through revenue transparency

Monitoring and Evaluation:

- 1) Monitoring Update every 4-8 weeks -> responsible MSG member: Focus, Chairperson, Natural Resources Justice Network (NRJN)
- 2) Implementation progress to be discussed at each MSG meeting with National Secretariat
- 3) Responsible entities will conduct sub-work plans and report back to the Main MWEITI Work Plan, such as for first ETTI report, pilot projects or communications etc.

Objectives	ETT requirement addressed	Activities	Expected Output	Expected Outcome	Responsible Entity	Timeline	Costs in USD	Challenges and Obstacles	Possible Source of Funding
1) ETTI-compliant status achieved.	Requirement 1.1	Government issues an unequivocal statement of the Nation Address, H.E. Professor Arthur Peter Muthika opening the 45th Session of Parliament on 17th June 2014, http://www.parliament.gov.ke	1.1 Government resolution	Demonstrated commitment of state authorities to MWEITI implementation	Presidency, Minister of Finance	17 June 2014	In-kind		GovM
	Requirement 1.2	Appointment of Senior Individual and this decision is publicly communicated (see through press release)	1.2 Senior Individual appointed and known to the public	To champion MWEITI and to provide leadership	Minister responsible for finance	18 July 2015	\$ 3,500.00		GIZ
	Requirement 1.3	Government commits to work with civil society and private sector and establishes a multi-stakeholder group (MSG) and MSG agrees on TOR	1.3 MSG established	National pressure group for greater transparency and accountability functioning	Minister responsible for finance	25 June 2015	In-kind (\$5,000)	Representatives from Government and CSO may not be capacitated enough to fulfil their designated role	MoF
	Requirement 1.4	MSG produces a current, fully costed work plan	1.4 Work plan approved	Ensure that MWEITI is an inclusive, collaborative and effective process	National Secretariat, MSG	25 June 2015	In-kind (\$10,000)		MSG members
	all requirements	Training workshop on the ETTI Standard, conducted and draft work plan developed	1.5 Workshop conducted and draft work plan developed	MSG members are aware of their rights and obligations according to the ETTI Standard and identify next steps	National Secretariat, GIZ	31 March 2015	\$ 7,500.00	Lack of awareness of ETTI beyond core group of previous ETTI task force	GIZ (resident advisor in MoF)
	Requirements 1.1 - 1.4	Candidature application endorsed by MSG and submitted to ETTI Board	1.6 Candidature status achieved	Stakeholders enabled to strengthen transparency and accountability in the extractives sector of Malawi within the ETTI framework	Minister of Finance, MSG	30 June 2015	In-kind (\$15,000)		MoF, MSG members
	all requirements	Official launch of MWEITI implementation	1.7 ETTI launched	Renew commitment of government, companies and CSO to ETTI implementation	National Secretariat	30 September 2015	\$ 30,000.00	Lack of awareness of ETTI beyond core group of previous ETTI task force	
	all requirements	Inform National Secretariat operations as a staff unit at MoF	1.8 Formation of Inform ETTI Secretariat based in the MoF		National Secretariat	18 March 2015	In-kind (\$15,000)	Government may lack the resources to fully implement ETTI without support	MoF, GIZ (resident advisor in MoF)
	all requirements	National Secretariat (see additional budget) set up	1.9 Formation of ETTI Secretariat based in the MoF	ETTII Champion and MSG are supported	National Secretariat	30 November 2015	\$ 313,850.00	Open one account for the Secretariat from different donors, ensuring that resources are earmarked to support ETTI for three years. Ensuring smooth cashflow for the project.	
	all requirements	TOF for fully-fledged National Secretariat drafted for endorsement by MSG	1.10 MSG approves TOR for National Secretariat	National Secretariat's role, rights and obligations are clear and transparent	CSO, GIZ, CSO Focus, Company Palatin, GIZ expert	25 June 2015	In-kind (\$5,000)		MSG members, GIZ
	all requirements	Study tour of MSG members for peer learning conducted to compliant country Zambia.	1.11 MSG work will be improved	Awareness and support of MWEITI stakeholders increased	National Secretariat, MSG	30 June 2015	\$ 22,000.00	Lack of awareness of ETTI beyond core group of previous ETTI task force	GIZ
	all requirements	Coordination and planning round with international partners including World Bank, ADB, EU, BAZGIZ, DFD, Royal Norwegian Embassy, private foundations.	1.12 Donor support affirmed and committed	Effective international cooperation	National Secretariat	30 July 2015	In-kind (\$5,000)		MoF
	requirements 3 - 5	Legal and practical obstacles to implementation; research and identify any legal, regulatory or other practical obstacles that may hinder or prevent the implementation of the ETTI process and reporting.	1.13 Legal and practical obstacles study conducted	Provide direction to the MSG on necessary steps to take to address legal and practical obstacles	National Secretariat	30 September 2015	\$ 20,000.00	Legislative and legal barriers - The ETTI process may be hampered by laws or contractual agreements between the government and private companies	

Objectives	ETTI requirement addressed	Activities	Expected Output	Expected Outcome	Responsible Entity	Timeline	Costs in USD	Challenges and Obstacles	Possible Source of Funding		
							2015/2016	2016/2017	2017/2018		
		Policy and legislation for ETTI scoping research, consultations and drafting of policy and legislation.	1.14 Policy and legislation produced	Provide legislative framework for implementation of the ETTI	National Secretariat, MoF, Ministry of Justice	30 September 2017	\$ 40 000.00	\$ 40 000.00			
		Scope of ETTI implementation, research and identify which natural resources, revenue/payment streams, companies and state authorities should be included in the ETTI reports.	1.15 Scope of ETTI implementation defined	Provide direction to the MSG on the scope for the ETTI implementation and reporting	National Secretariat	31 October 2015	\$ 60 000.00			GIZ	
	requirement 5.2	Preparatory Reporting Template drafted for Independent Administrator (Reconciler)	1.16 Preparatory Reporting Template produced	Readiness to prepare 1st MWEITI report	National Secretariat, MoF	01 November 2015	In-kind (\$1,000)			MoF	
	requirement 5.2	TOR for Independent Administrator (Reconciler) approved by MSG, including decision of materiality threshold.	1.17 TOR for Independent Administrator (Reconciler)	Ensured that reconciliation is carried out thoroughly and Independent Administrator understand role	National Secretariat, RBM, NAO	01 November 2015 and subsequent years	\$ 2 000.00				
	requirement 5.2	Independent Administrator (Reconciler) is contacted. Procurement started in a timely manner (6-9 months, MoF).	1.18 Independent Administrator contracted	Confidence with stakeholders on the integrity of ETTI is increased	MoF, National Secretariat	31 January 2016 and subsequent years	\$ 60 000.00	\$ 70 000.00			
	requirement 3.1; requirement 3.2; requirement 3.3; requirement 3.4; requirement 3.5;	MSG committee on contextual information makes sure that resource persons from relevant government agencies provide information.	1.19 Contextual information collected	Maximise synergies between stakeholders	National Secretariat, MoF, National Secretariat	01 February 2016	\$ 5 000.00	\$ 10 000.00	\$ 10 000.00	Information is currently not consolidated and is published through a variety of means and agencies	
	requirements 5.3 and 6	Independent Administrator (Reconciler) to collect information from government and companies for reconciliation, and drafting of report.	1.20 Report produced	Independent third party reconciliation will add weight to the tax payment figures put into the public domain	National Secretariat	30 September 2016					
	requirement 5.3 and 6	MSG endorses first ETTI report	1.21 First ETTI report endorsed	Public debate on Malawi extractive industries is better informed	MSG	31 December 2016 (Ibid)	\$ 2 500.00				
		MSG publishes the first ETTI report	1.22 First ETTI report published	Public debate on Malawi extractive industries is better informed	MSG	31 December 2016 (Ibid)	\$ 20 000.00				
	requirements 5.3 and 6	MSG endorses second ETTI report	1.23 Second ETTI report endorsed	Public debate on Malawi extractive industries is better informed	MSG	31 December 2017 (Ibid)	\$ 2 500.00				
		MSG publishes second ETTI report	1.24 Second ETTI report published	Public debate on Malawi extractive industries is better informed	MSG	31 December 2017 (Ibid)	\$ 20 000.00				
	requirement 7.1	Identify, investigate and address the cause of discrepancies in reconciliation and consider recommendations for improvement from the Independent Administrator.	1.23 Findings consolidated and submitted to government	Governance of the extractives sector in Malawi is improved	MSG	30 November 2016 (Ibid) and subsequent years	\$ 5 000.00				
	requirements 1.3 and 7.2	Research and present information on the recent activities	1.24 Annual Activity Report 2015/2016	Confidence with stakeholders on the results of ETTI is increased	National Secretariat	30 June 2016	\$ 5 000.00				
	requirements 1.3 and 7.2	Research and present information on the recent activities	1.25 Annual Activity Report 2016/2017	Confidence with stakeholders on the results of ETTI is increased	National Secretariat	30 June 2017		\$ 5 000.00			
	requirement 1.8 b) and The Validation Guide	Undergo independent validation for ETTI compliance	1.26 Assessment of ETTI implementation in MMW	MMW reaches compliant status	National Secretariat	15 December 2017				ETTI International Secretariat is responsible for ETTI covering costs and arrangements for the independent validation	ETTI International Secretariat
2) The MSG forms and strengthens partnerships between		Inform policy and legal reforms in accordance with Africa Mining Vision (AMV), e.g. Mines and Minerals Act, Taxation Act	2.1 Harmonised policy and legal framework for investors	Ease of doing business is improved for higher quality investments	MoF, National Secretariat	15 December 2017				Policy coherence both within Malawi and SADC/AU	

Objectives	EITI requirement addressed	Activities	Expected Output	Expected Outcome	Responsible Entity	Timeline	Costs in USD	2015/2016	2016/2017	2017/2018	Challenges and Obstacles	Possible Source of Funding	
government /administrators, companies and public for attracting investment 5.	all requirements	Checklist for licence and contract awarding government agencies concerning the due diligence of investors	2.2 Due diligence checklist developed	Resilient legal and contractual frameworks for mutual benefit in place	National Secretariat	30 November 2016	\$	\$	\$	\$			
	requirement 3.11	The beneficial owners of corporate entities that bid for, operate, or invest in extractive assets will be made publicly available on the government website/website of MWEITI in accordance with Requirement 3.11	2.3 Beneficial ownership information published	The public and government's understanding of and trust in MW investors are increased	National Secretariat	30 September 2016	\$	\$	\$	\$			
	requirement 3.12	Contract and licences are publicly disclosed on the government website/website of MWEITI in accordance with Requirement 3.12	2.4 Contracts and licences are disclosed online	Improve mutual trust and good governance in Malawi's extractive industries	National Secretariat	30 September 2016	\$	\$	\$	\$		1 Development Agreement (DA) has been awarded by Government, which has subsequently been disclosed. Thus there is currently actual contract transparency in the sector.	
	all requirements	MSG visit to mine sites to strengthen partnerships and technical knowledge and competences of members.	2.5 Visit to mines in Malawi conducted	Improve mutual trust and good governance in Malawi's extractive industries	National Secretariat, MSG	30 September 2016	\$	\$	\$	\$			
	all requirements	Participation in EITI Global Conference (Lima, Peru) (participation of at least 6 people from Secretariat and MSG)	2.6 Participation in EITI Global Conference	The MSG stakeholders and the constituencies they represent share knowledge and present Malawi's achievements, building MSG trust and public's understanding of MWEITI	MSG	28 February 2016	\$	\$	\$	\$			
Increased revenue base and socio-economic impacts from extractive industries.	requirement 7	One report is submitted to the MSG on fiscal regime and socio-economic impacts with gender disaggregated data (sector-wide situation and risk analysis) along the decision-value chain in the extractives sector.	3.1 Decision-value chain in the extractives sector in terms of revenue management and benefits for the people is assessed.	Provides direction to the MSG in what positive and negative results MWEITI should focus on.	MOF, National Secretariat	31 March 2016	\$	\$	\$	\$			
	requirements 1.3 and 7	Conduct community meetings to discuss revenue and non-revenue issues affecting communities in mining areas. Assign EITI focal points in mining areas, e.g. members of district assemblies or local administrations.	3.2 Institutionalized dialogue of national government, local governments, companies and local communities is assessed and	Participatory multi-stakeholder process strengthened.	Actionaid Malawi, National Secretariat	31 May 2016	\$	\$	\$	\$			
	requirements 1.3 and 7	Measure the effect of MWEITI on the level of trust in the extractives sector, representative, quantitative and scientifically robust survey sets baseline before starting with MWEITI - same survey conducted after 1st EITI report, e.g. relations state authorities / citizen, state authorities / chiefs, state authorities / companies, companies / chiefs, companies / citizens, chiefs / citizens, CSOs / citizens; parliamentarians, media, gender-disaggregated.	4.1 Baseline and mid-term assessment of stakeholder trust conducted	Impact of EITI on trust of stakeholders in the extractive industries is fully understood	National Secretariat	01 September 2015	\$	\$	\$	\$			
Strengthened trust among stakeholders of natural resource management.	requirement 1.3 g)	Form a Committee on Communication & Engagement	4.2 Committee formed	Find and maximise audience of MWEITI report	National Secretariat, Ministry Natural Resources, Energy and Mining, CFU, Actionaid Malawi, Padalin Africa Ltd, Bwange Cement Company Limited	31 October 2015	\$	\$	\$	\$			
	requirement 1.3 g)	Fully coded Communication and Engagement Strategy and Workplan is drafted for endorsement by MSG	4.3 Communication Strategy and Workplan drafted and endorsed by MSG	Find and maximise audience of MWEITI report	MSG	31 January 2016	\$	\$	\$	\$		Lack of awareness of EITI beyond core group of previous EITI task force	

Objectives	Activities	Expected Output	Expected Outcome	Responsible Entity	Timeline	Costs in USD	Challenges and Obstacles	Possible Source of Funding
Requirement 1.3.9)	Ensure that Communication and Engagement Strategy and Workplan is implemented and e.g. MWETT website is developed and updated in a timely manner to provide relevant information; messages from ETTI generated for target groups; conduct outreach and capacity building activities with targeted stakeholders; involvement and mobilisation capacities of stakeholders beyond the MSG members.	4.4 Communication and Engagement Strategy and Workplan implemented	Factual and valuable information provided to stakeholders leading to increased understanding and awareness of MWETT generated data	National Secretariat, Media House and Committee on Communication and Engagement	31 December 2017	2015/2016 \$ 100 000.00 2016/2017 \$ 150 000.00 2017/2018 \$ 150 000.00	Scepticism toward ETTI - Some local stakeholders raise questions about the wisdom or necessity of joining ETTI	
7	One pilot measure is identified, where a District Development Plan is informed by the MWETT report - in terms of priorities, revenue management and revenue sharing.	4.5 MSG endorses one pilot measure	Participative multi-stakeholder process strengthened	National Secretariat, Actor/Adi Malaw, Paladin Africa Ltd.	30 June 2017	\$ 20 000.00		
Overall costs						\$ 710 350.00 \$ 676 420.00 \$ 573 367.40	\$ 1 960 137.40	